

Central Plateau Cleanup Company
FOREIGN OWNERSHIP, CONTROL OR INFLUENCE (FOCI) CERTIFICATION

Prior to award of a Contract under this solicitation, the Buyer must determine that award of the Contract to the Offeror will not pose an undue risk to the common defense and security as a result of its access to classified information or a significant quantity of Special Nuclear Material (SNM) in the performance of the Contract. In making the determination, the Buyer may consider a voting trust or other arrangements proposed by the Offeror to mitigate or avoid FOCI. The Buyer may require the Offeror to submit such additional information as deemed pertinent to this determination.

The Offeror shall require any subcontractors having access to classified information or a significant quantity of SNM to submit the certification below directly to the Buyer.

Information submitted by the Offeror is to be used solely for purposes of evaluating foreign ownership, control, or influence and shall be treated by the Buyer to the extent permitted by law, as business or financial information submitted in confidence.

Answer the following questions either "Yes" or "No." If the answer is "Yes," furnish in detail in the space provided all the information requested in italics. Copies of information which responds to these questions and which was submitted to other Government agencies may be submitted as responses to these questions if the earlier responses are accurate, complete, and current.

1. Does a Foreign Interest own or have beneficial ownership in 5% or more of your organization's voting securities?

Yes

No

2. Does your organization own 10% or more of any Foreign Interest?

Yes

No

3. Do any Foreign Interests have management positions such as Directors, Officers, or Executive Personnel in your organization?

Yes

No

4. Does any Foreign Interest control or influence, or is any Foreign Interest in a position to control or influence the election, appointment, or tenure of any of your directors, officers, or executive personnel?

Yes

No

5. Does your organization have any contracts, binding agreements, understandings, or arrangements with a Foreign Interest(s) that cumulatively represents 10% or more of your organization's gross income?

Yes

No

6. Is your organization indebted to any Foreign Interests?

Yes

No

7. Does your organization derive any income from Communist Countries?

Yes

No

8. Is 5% or more of any class of your organization's securities held in any method, which does not disclose beneficial owner of equitable title?

Yes

No

9. Does your organization have interlocking directors with Foreign Interests?

Yes

No

10. Are there any citizens of foreign countries employed by, or who may visit your offices or facilities in a capacity, which may permit them to have access to classified information or a significant quantity of SNM?

Yes

No

11. Does your organization have foreign involvement not otherwise covered in your answers to the above questions?

Yes

No

FOREIGN OWNERSHIP, CONTROL OR INFLUENCE (FOCI) CERTIFICATION (Continued)

CERTIFICATIONS

Check applicable statements to which offeror is certifying.

- Offeror certifies that the entries made above are accurate, complete and current to the best of my knowledge and belief and are made in good faith.
- Offeror certifies that the information requested above has previously been submitted to the Buyer as required for a facility security clearance and that the information is accurate, complete and current.

Offeror:

Title: _____

Company Name: _____ Company Address: _____

Print First and Last Name

Signature / Date